



EXPRESS MAIL CERTIFICATE

Date 7/28/03Label No. EV340067216

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 by "Express Mail Post Office to Addressee" service.

Name (Print) Darby & Darby P.C.Signature [Signature]

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Customer No.: 07278

Docket No.: 2902/OG377

DARBY & DARBY P.C.

Post Office Box 5257
New York, NY 10150-5257
212-527-7700

RECEIVED

AUG 05 2003

TECHNOLOGY CENTER R3700

Appl. No. : 09/537,372

Conf. No.: 3747

Applicant : Lloyd A. GROVEMAN, et al.

G.A.U. : ~~2768~~ ³⁶²⁸

Filed : March 24, 2000

Examiner: S. CHENCINSKI

For : ACTIVE ACCOUNT MANAGEMENT USING VOLATILITY
ARBITRAGE

AMENDMENT TRANSMITTAL

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

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GROUP 3600

	Claims remaining after amendment	Highest number previously paid for	Present extra	Rate	Additional Fee
Total Claims	27	- 32 =	0	\$18	\$0.00
Independent	3	- 3 =	0	\$84	\$0.00
Multiple Dependent					\$

Total additional fee
for this amendment \$0.00

- ☒ Small Entity REDUCTION (Half of preceding total) \$0.00
- ☒ No additional fee is required.
- ☐ A check in the amount of \$.00 is attached.
- ☒ Please charge any additional fees up to \$500.00 or credit overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



David Leason
Reg. No. 36,195
Attorney for Applicant(s)

Dated: July 28, 2003

DARBY & DARBY P.C.
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